



# Finding Race Conditions during Unit Testing with QuickCheck

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# Race Conditions

- Everybody's nightmare!
  - Timing dependent, often don't show up until system testing
  - Hard to reproduce
  - More likely to strike on multicore processors
  - Erlang is not immune
- **Goal:** find race conditions in *unit testing*, using QuickCheck and PULSE
- **Story:** Ulf Wiger's extended process registry

# From Unit Testing to QuickCheck

- **Example:** lists:delete/2 removes an element from a list

```
delete_present_test() ->
    ?assertEqual([1,3], lists:delete(2, [1,2,3])).

delete_absent_test() ->
    ?assertEqual([1,2,3], lists:delete(4, [1,2,3])).
```

- Did I think of enough cases?
- How much time/energy/code am I prepared to spend on this?

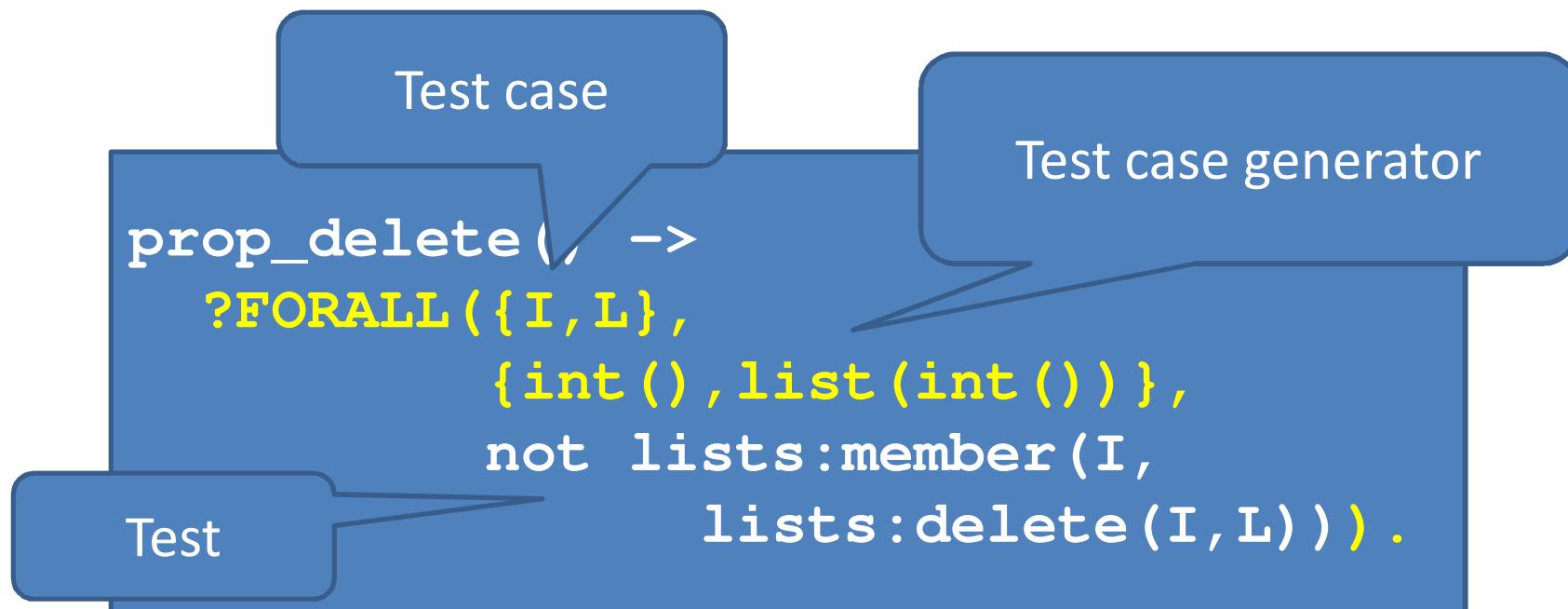
# Property Based Testing

- Generate test cases instead
  - As many as you like!
  - **Challenge:** from what universe?
  - **Challenge:** understandable failures
- Decide test outcome with a *property*
  - **Challenge:** no “expected value” anymore
  - Need to formulate a general property

int() and list(int())

A deleted  
element is  
really gone?

# A property of lists:delete



```
21> eqc:quickcheck(examples:prop_delete()) .
```

```
.....
```

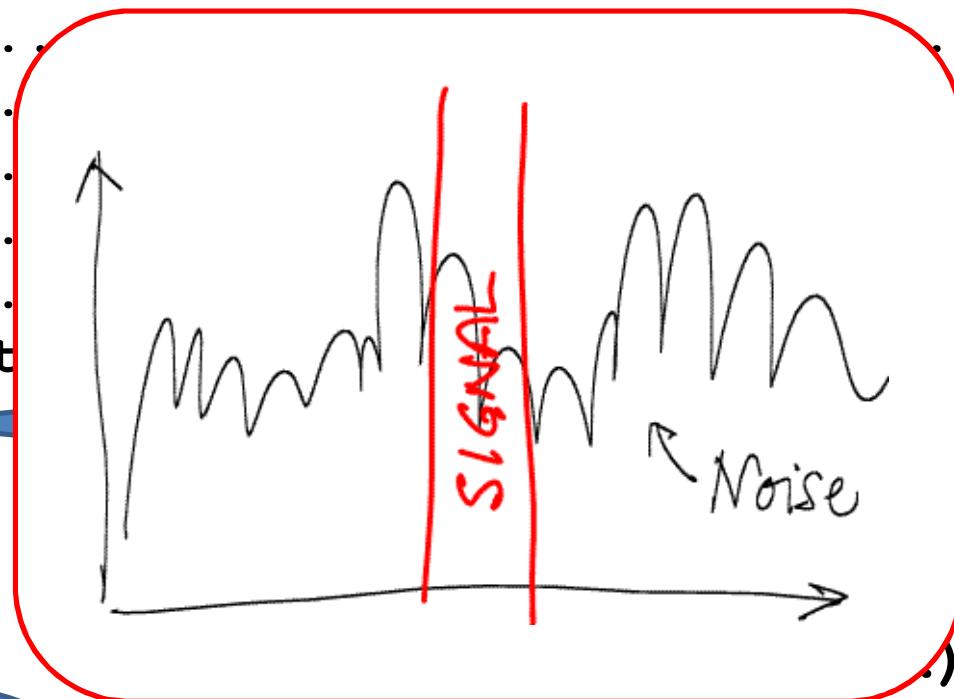
```
.....
```

```
OK, passed 100 tests
```

Or maybe not...

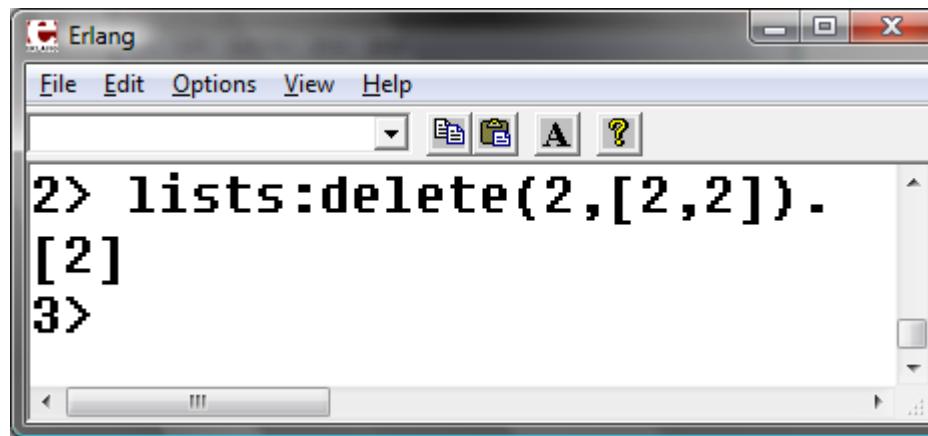
```
29> eqc:quickcheck(eqc:numtests(1000, examples:prop_delete()) ).
```

...Failed! After 346 test  
{2, [-7, -13, -15, 2, 2]}\nShrinking. (1 times)\n{2, [2, 2]}\nfalse



# A simplest failing test

# What's going on?



The screenshot shows an Erlang IDE window titled "Erlang". The menu bar includes "File", "Edit", "Options", "View", and "Help". Below the menu is a toolbar with icons for file operations. The main window displays the following Erlang code and its output:

```
2> lists:delete(2,[2,2]).  
[2]  
3>
```

The output shows that `lists:delete(2,[2,2])` returns the list [2], which is incorrect because it should return an empty list [] since the element 2 appears twice in the input list.

- This is supposed to happen!
  - `lists:delete` removes *one* occurrence
  - We need a test case where the element occurs twice

# Process Registry is Stateful

- What functions do we want to test?
  - register(Name,Pid), unregister(Name)
  - spawn(), kill(Pid)
- Test cases?
  - Sequences of *calls* to API under test

```
[{set,{var,1},{call,reg_eqc,spawn,[[]]}},  
 {set,{var,2},{call,erlang,register,[a,{var,1}]}}]
```

Just Erlang terms...  
*symbolic*

V1 = spawn(),  
V2 = register(a,V1).

# Abstract Mod

- Model

-reco  
tre  
re

• De

```
next_state = S#state{regs=[Name,Pid] | S#state.regs});  
.....
```

# What's the property?

- For all sequences of API calls
- ...where all the preconditions are true.
- ...no uncaught exceptions
- ...and all the postconditions are true.

The meat is in the pre-  
and postconditions and  
the state model

```
prop_registration() ->
  ?FORALL (Cmds, commands (?MODULE) ,
begin
  {H, S, Res} = run_commands (?MODULE , Cmds) ,
  [catch unregister(N) || N<-?names] ,
  Res==ok
end) .
```

```
postcondition(S, {call, ?MODULE, register, [Name, Pid]}, V) ->
    case register_ok(S, Name, Pid) of
        true -> V==true;
        false -> is_exit(V)
    end.

register_ok(S, Name, Pid) ->
    not lists:keymember(Name, 1, S#state.regs) andalso
    not lists:keymember(Pid, 2, S#state.regs).
```

```
[{set,{var,2},{call,reg_eqc,spawn,[]}},  
 {set,{var,3},{call,reg_eqc,register,[a,{var,2}]}},  
 {set,{var,5},{call,reg_eqc,register,[b,{var,2}]}}]
```

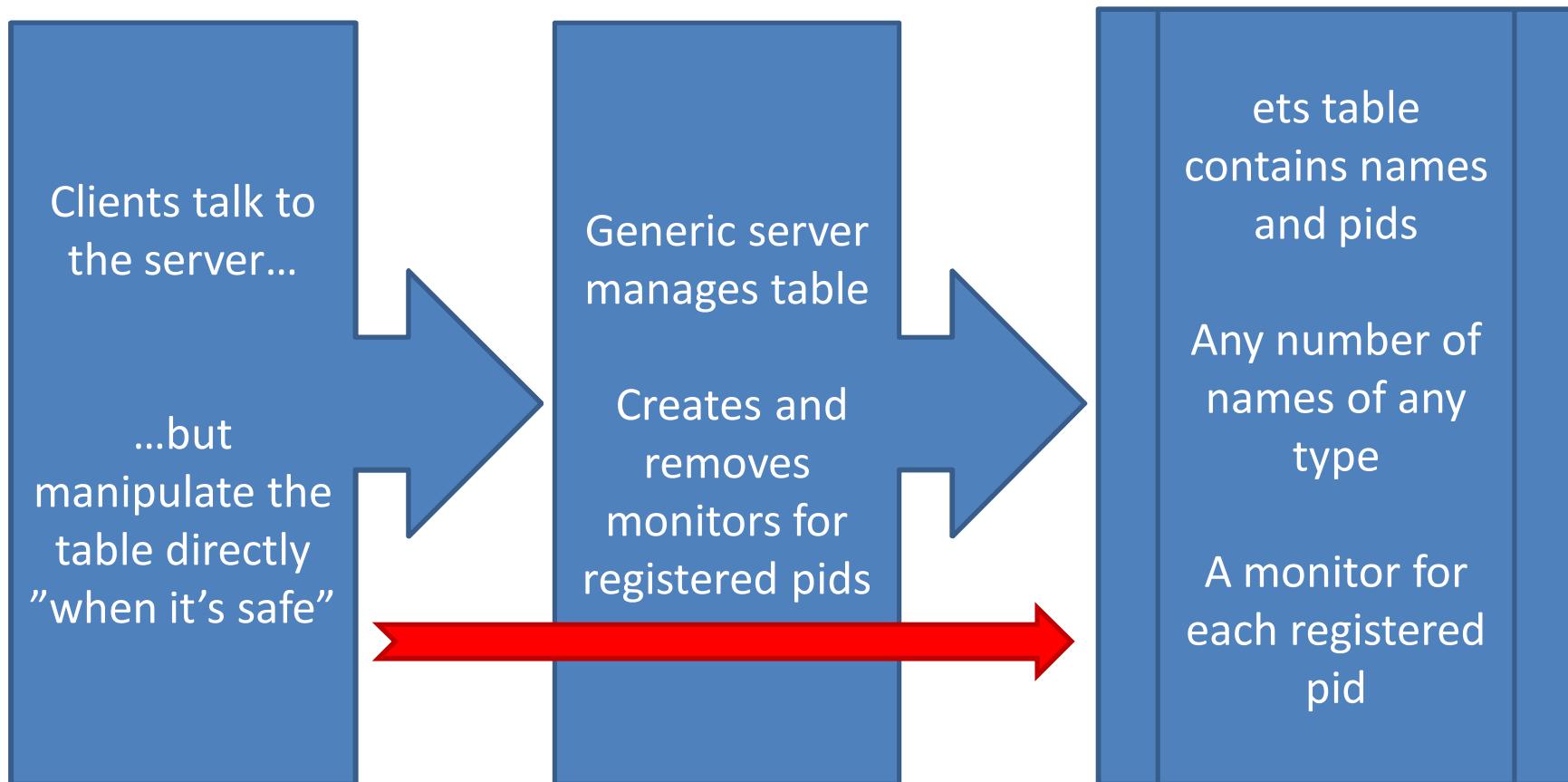
```
false
```

```
27>
```

A Pid can only  
be registered  
with *one*  
name!

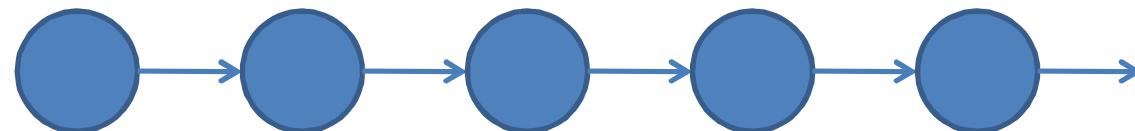
```
v2 = spawn(),  
v3 = register(a,v2),  
v5 = register(b,v2).
```

# Extended Process Registry

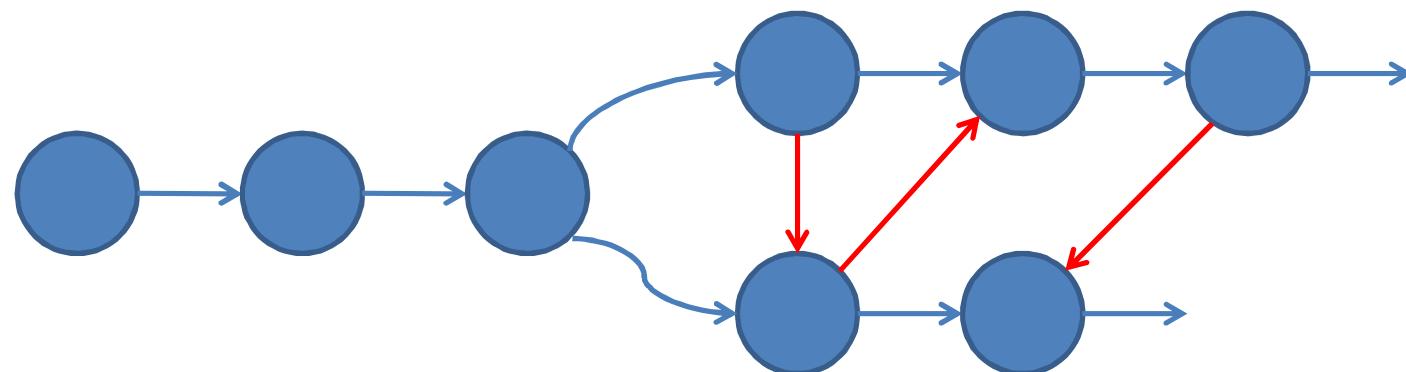


# What is a Parallel Test Case?

- Sequential test case:

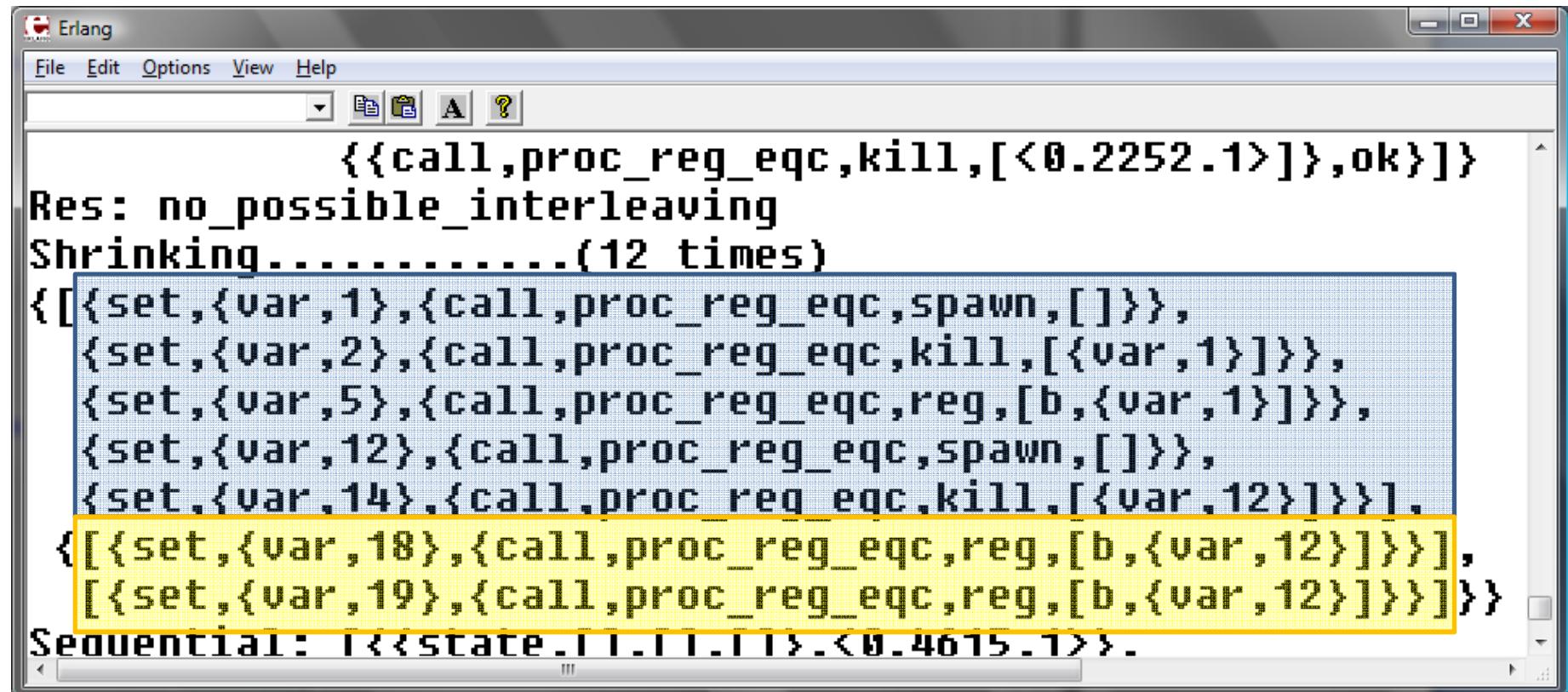


- Parallel test case:



- We *reuse* the specification of the sequential case

# Testing the EPR



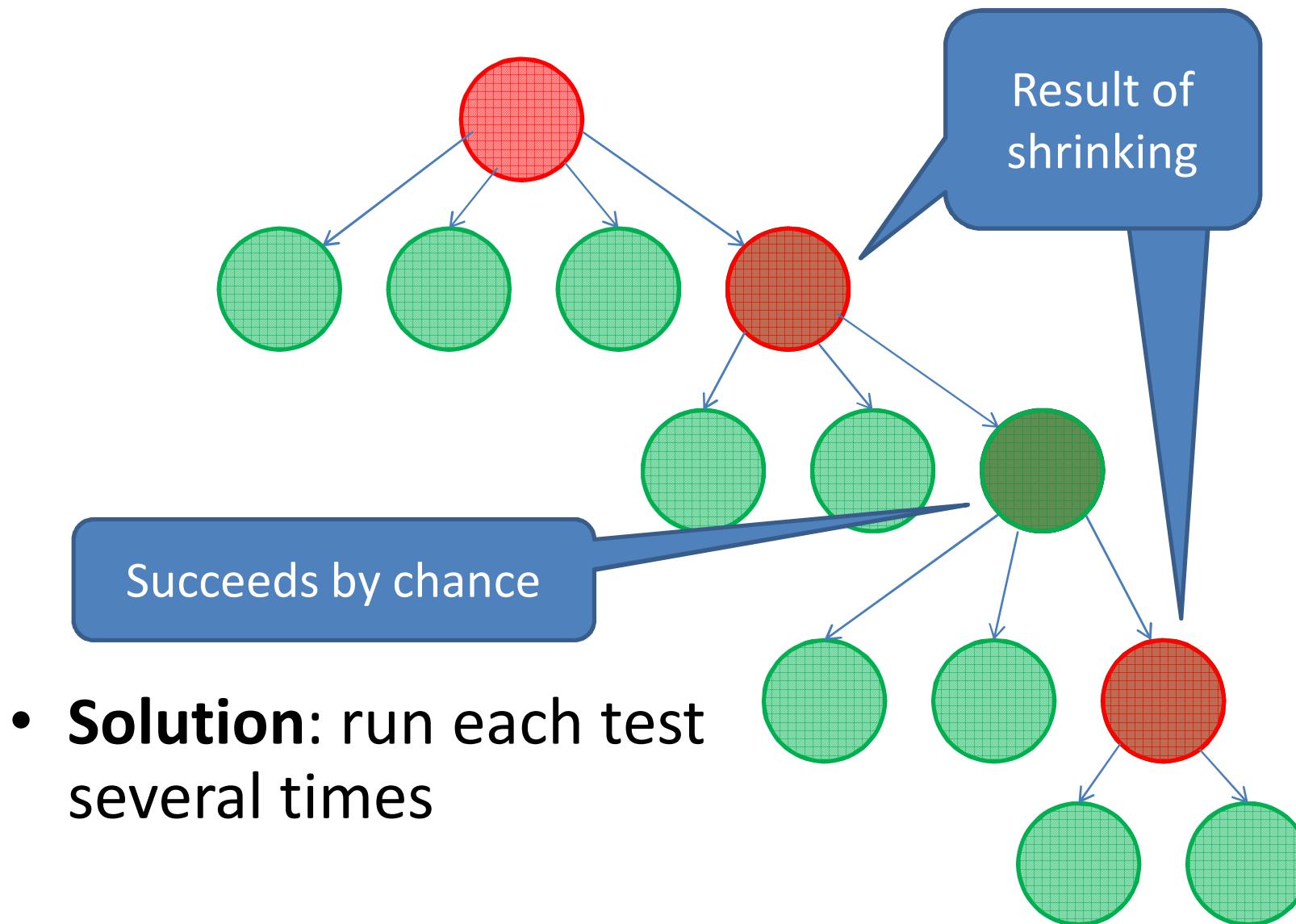
The screenshot shows an Erlang IDE window with the title "Erlang". The menu bar includes File, Edit, Options, View, and Help. The toolbar has icons for New, Open, Save, and Run. The main text area displays the following output:

```
    {{call,proc_reg_eqc,kill,[<0.2252.1>]},ok}]}
Res: no_possible_interleaving
Shrinking.....(12 times)
[{{set,{var,1},{call,proc_reg_eqc,spawn,[]}}},
 {set,{var,2},{call,proc_reg_eqc,kill,[{var,1}]}}},
 {set,{var,5},{call,proc_reg_eqc,req,[b,{var,1}]}}},
 {set,{var,12},{call,proc_reg_eqc,spawn,[]}}},
 {set,{var,14},{call,proc_reg_eqc,kill,[{var,12}]}}},
 {[{set,{var,18},{call,proc_reg_eqc,reg,[b,{var,12}]}}]},
 [{set,{var,19},{call,proc_reg_eqc,reg,[b,{var,12}]}}]}
Sequential: {{state.TT.TT.TT}.<0.4615.1>}.
    
```

A yellow box highlights the last two lines of the output, specifically the sequence of calls involving sets with variables 18 and 19.

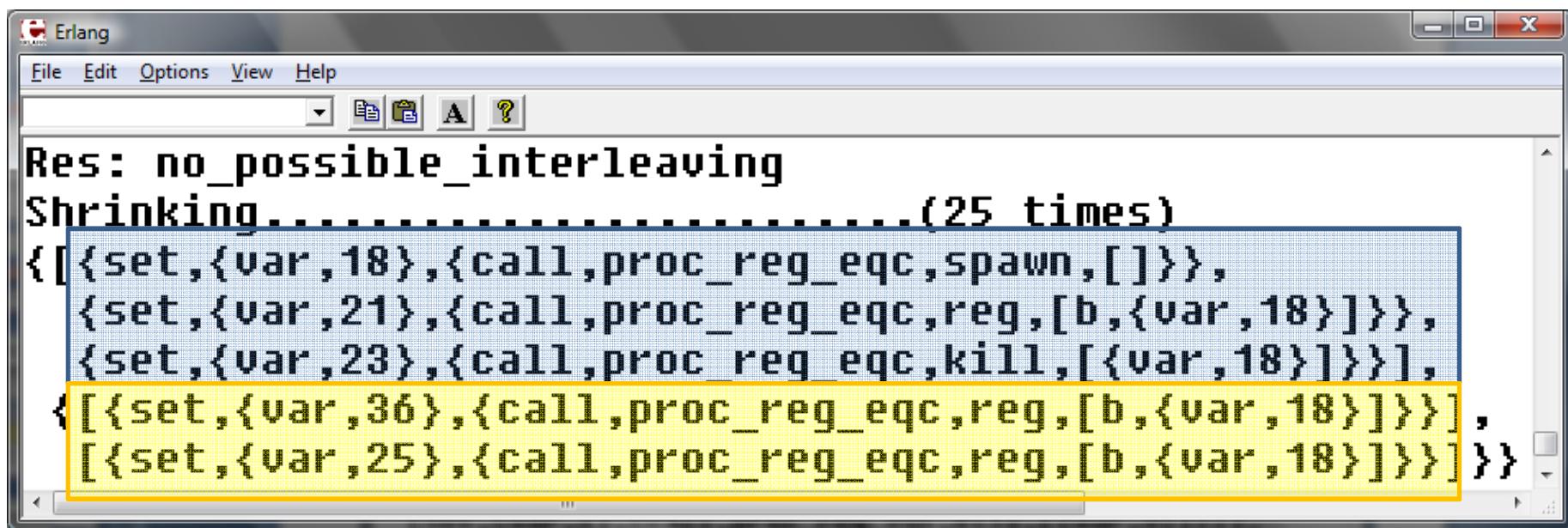
- Must it be so complicated?

# How Shrinking Works



# Shrinking the EPR failure

- With test repetition...



The screenshot shows the Erlang IDE interface with the title bar "Erlang". The menu bar includes "File", "Edit", "Options", "View", and "Help". Below the menu is a toolbar with icons for file operations. The main window displays the following text:

```
Res: no_possible_interleaving
Shrinking.....(25 times)
[{{set,{var,18}},{call,proc_reg_eqc,spawn,[]}}, {set,{var,21}},{call,proc_reg_eqc,reg,[b,{var,18}]}}, {set,{var,23}},{call,proc_reg_eqc,kill,[{var,18}]}}], {[{set,{var,36}},{call,proc_reg_eqc,reg,[b,{var,18}]}}], [{set,{var,25}},{call,proc_reg_eqc,reg,[b,{var,18}]}}]}
```

A yellow box highlights the last two elements of the list, specifically the second and third entries from the bottom.

- Every step is necessary
- The last two *must* be in parallel

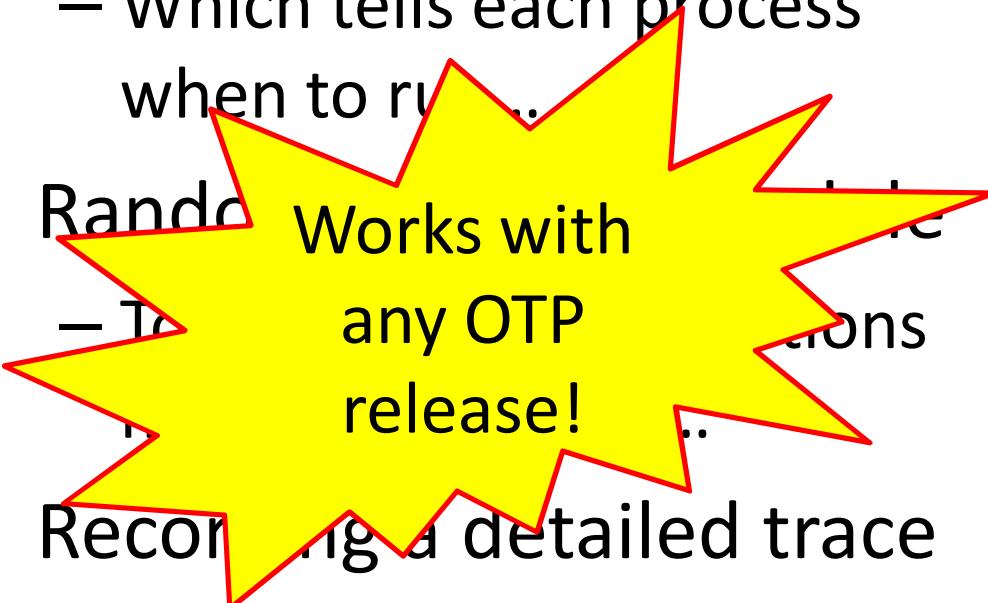
# What went wrong?

The pid is dead!

Registering a dead pid  
should *always* "succeed"

```
Running .....(25 times)
{[{set,{var,18}}, {call,proc_reg_eqc,spawn,[]}], 
 {set,{var,21}}, {call,proc_reg_eqc,reg,[b,{var,18}]}], 
 {set,{var,23}}, {call,proc_reg_eqc,kill,[{var,18}]}}], 
 [{[{set,{var,36}}, {call,proc_reg_eqc,reg,[b,{var,18}]}]}, 
 [{set,{var,25}}, {call,proc_reg_eqc,reg,[b,{var,18}]}]}]}
Parallel: {[{{call,proc_reg_eqc,reg,[b,<0.3155.2>]},true}| 
 [{{call,proc_reg_eqc,reg,[b,<0.3155.2>]}, 
 {'EXIT',{badarg,[{proc_reg,reg,2}, 
 {proc_reg_eqc,reg,2}, 
 {parallel2,run,2}, 
 {parallel2,'-run_pcommands'}]}]}]}
Res: no_possible_interleaving
```

- ProTest  
User  
Level  
Scheduler  
for Erlang
- # But what happened?
- Instruments Erlang code
    - To make it talk to...
  - A *user-level scheduler*
    - Which tells each process when to run...
  - Randomly works with any OTP release!
    - To record detailed traces
  - Recording a detailed trace



# Pulsing the EPR

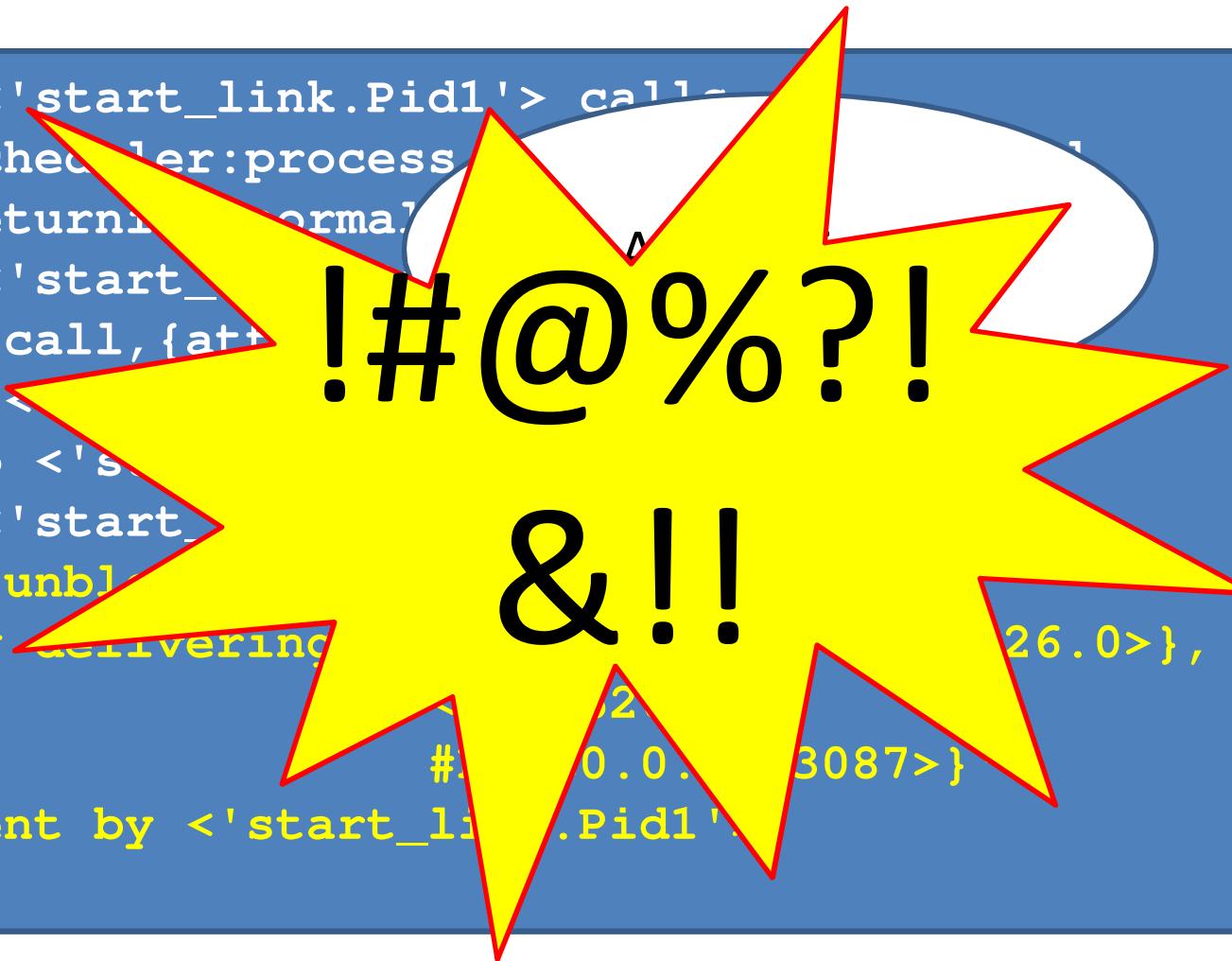
- PULSE provokes an even simpler counterexample:

```
{[{{set,{var,9},{call,proc_reg_eqc,spawn,[]}}},  
 {set,{var,10},{call,proc_reg_eqc,kill,[{var,9}]}}}],  
 {[{{set,{var,15},{call,proc_reg_eqc,reg,[c,{var,9}]}}},  
 [{set,{var,12},{call,proc_reg_eqc,reg,[c,{var,9}]}}]}]}
```

- As before, one of the calls to reg raises an exception.
- All we need is a dead process!

# Inspecting the Trace

```
-> <'start_link.Pid1'> calls  
    scheduler:process  
    returning normal  
-> <'start_<br>'{call, {att  
    to <'s  
-> <'start_<br>*** unbl  
    by delivering  
        #<br>0.0.3087>}  
sent by <'start_li...>.Pid1'  
...
```



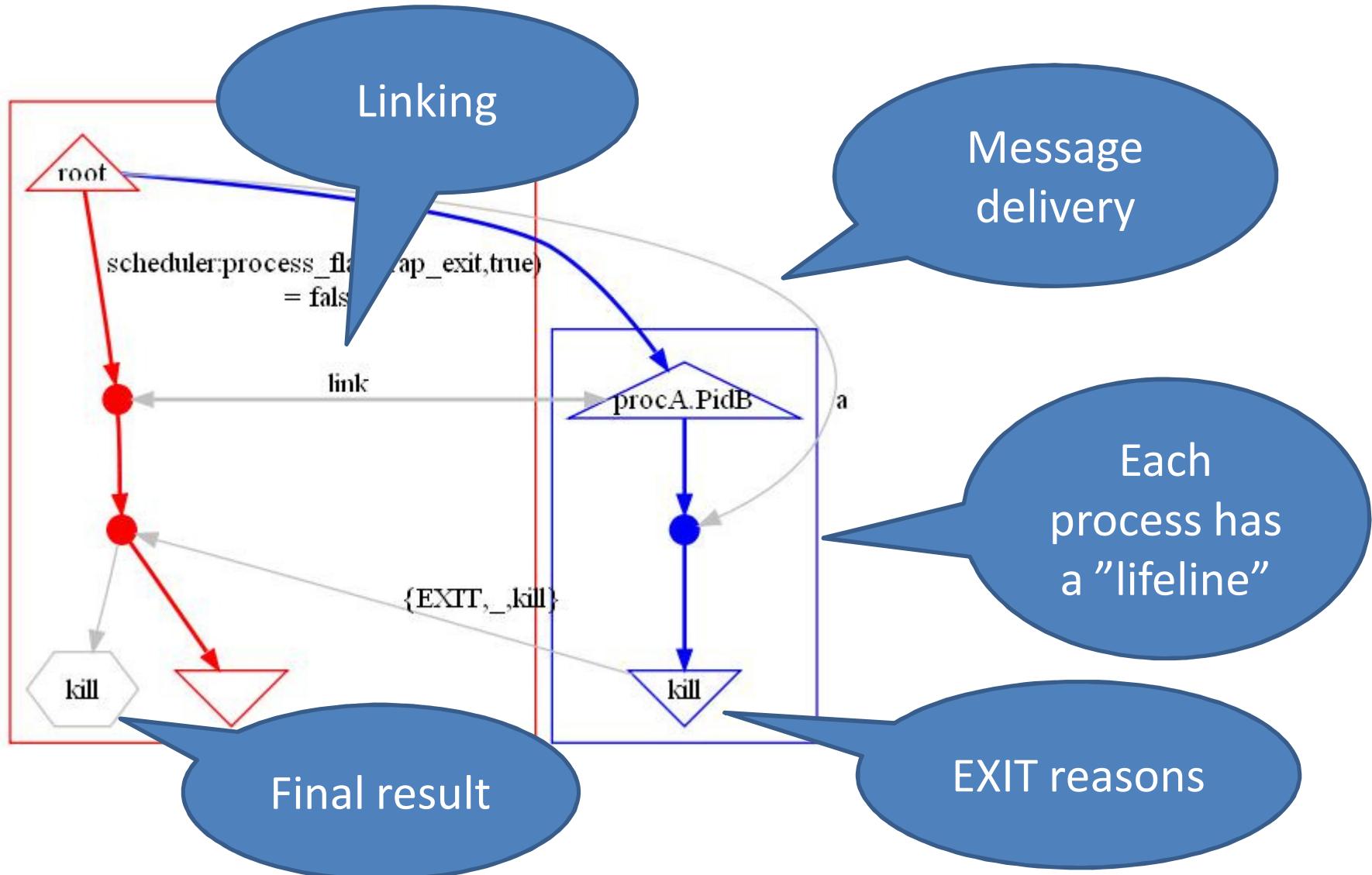
# Trace Visualization

- A simple example:

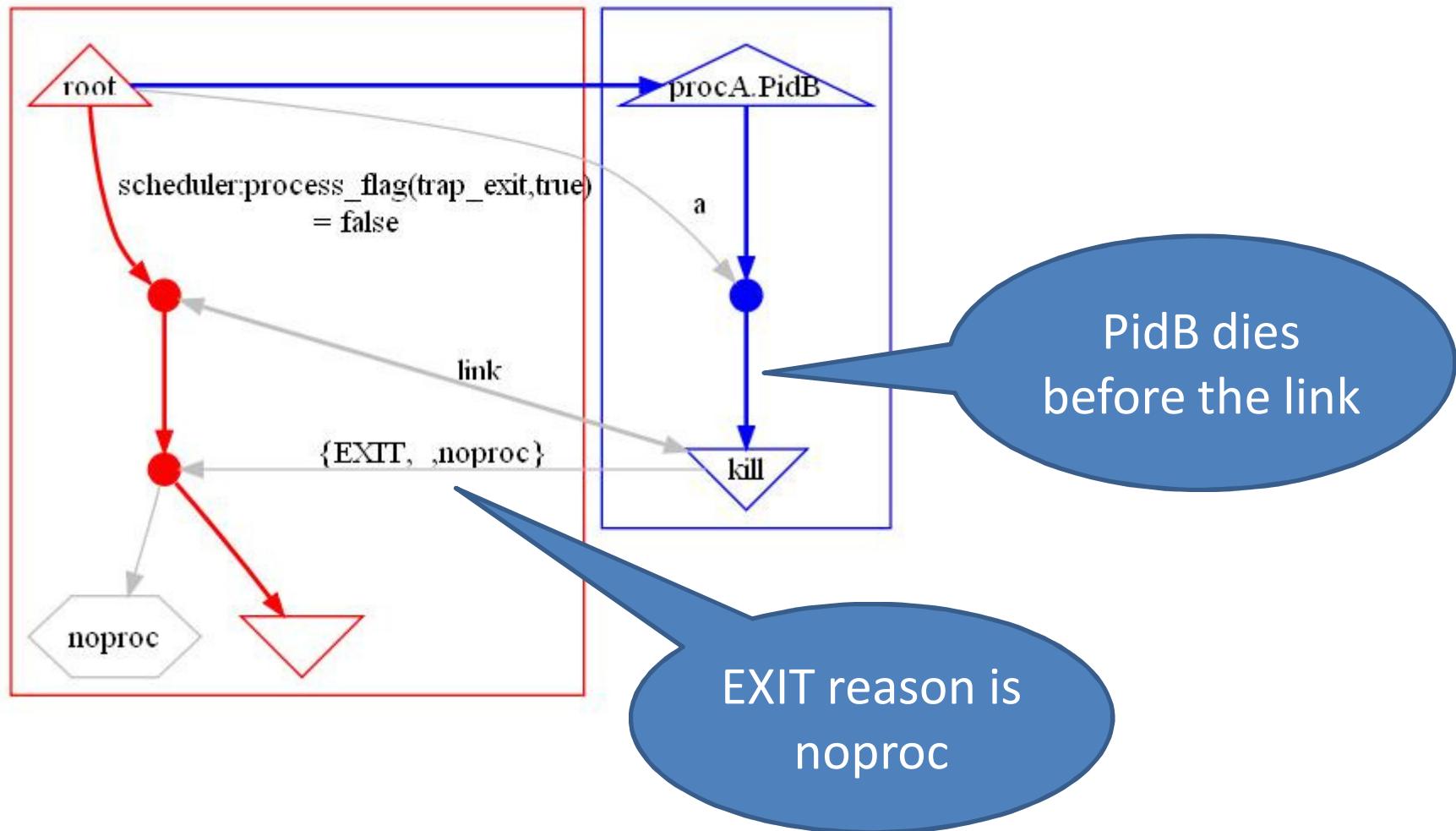
```
procA() ->
  PidB = spawn(fun procB/0),
  PidB ! a,
  process_flag(trap_exit, true),
  link(PidB),
  receive
    {'EXIT', _, Why} -> Why
  end.
```

```
procB() ->
  receive
    a ->
      exit(kill)
  end.
```

# One possibility

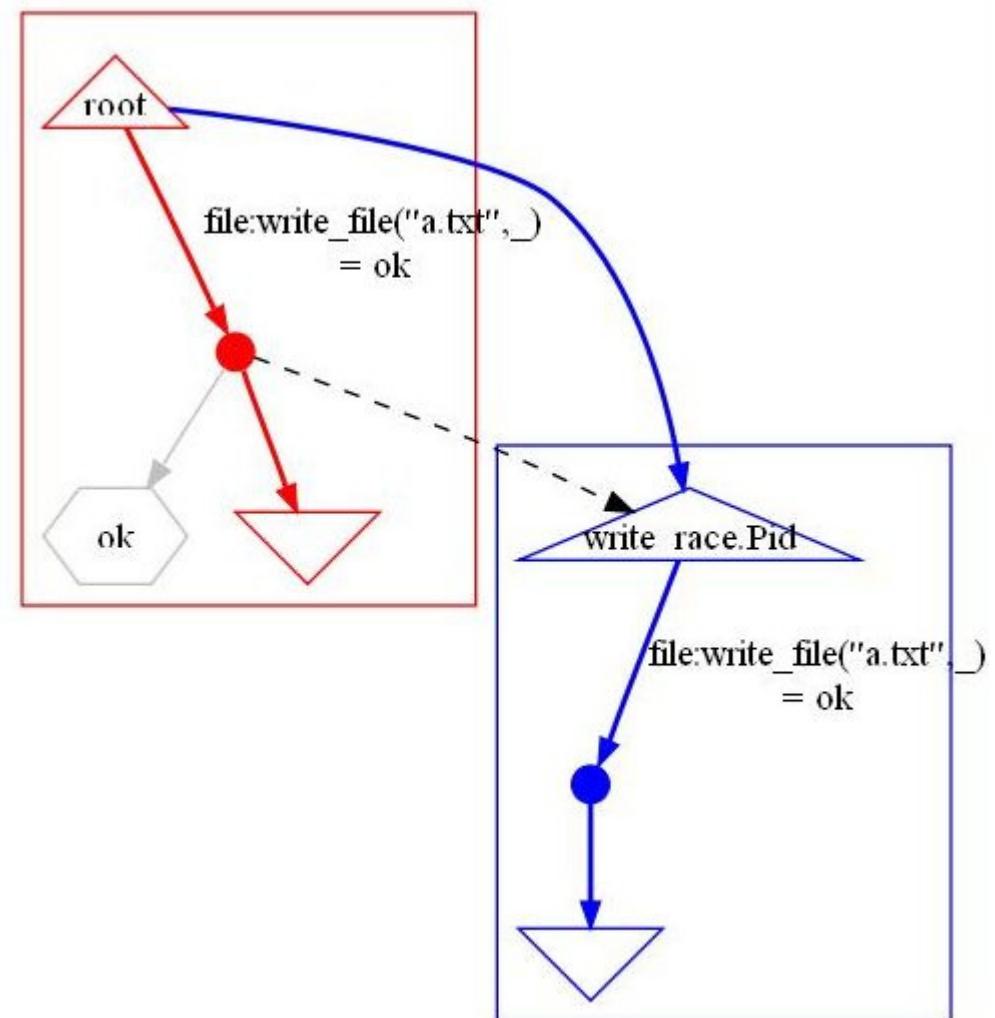


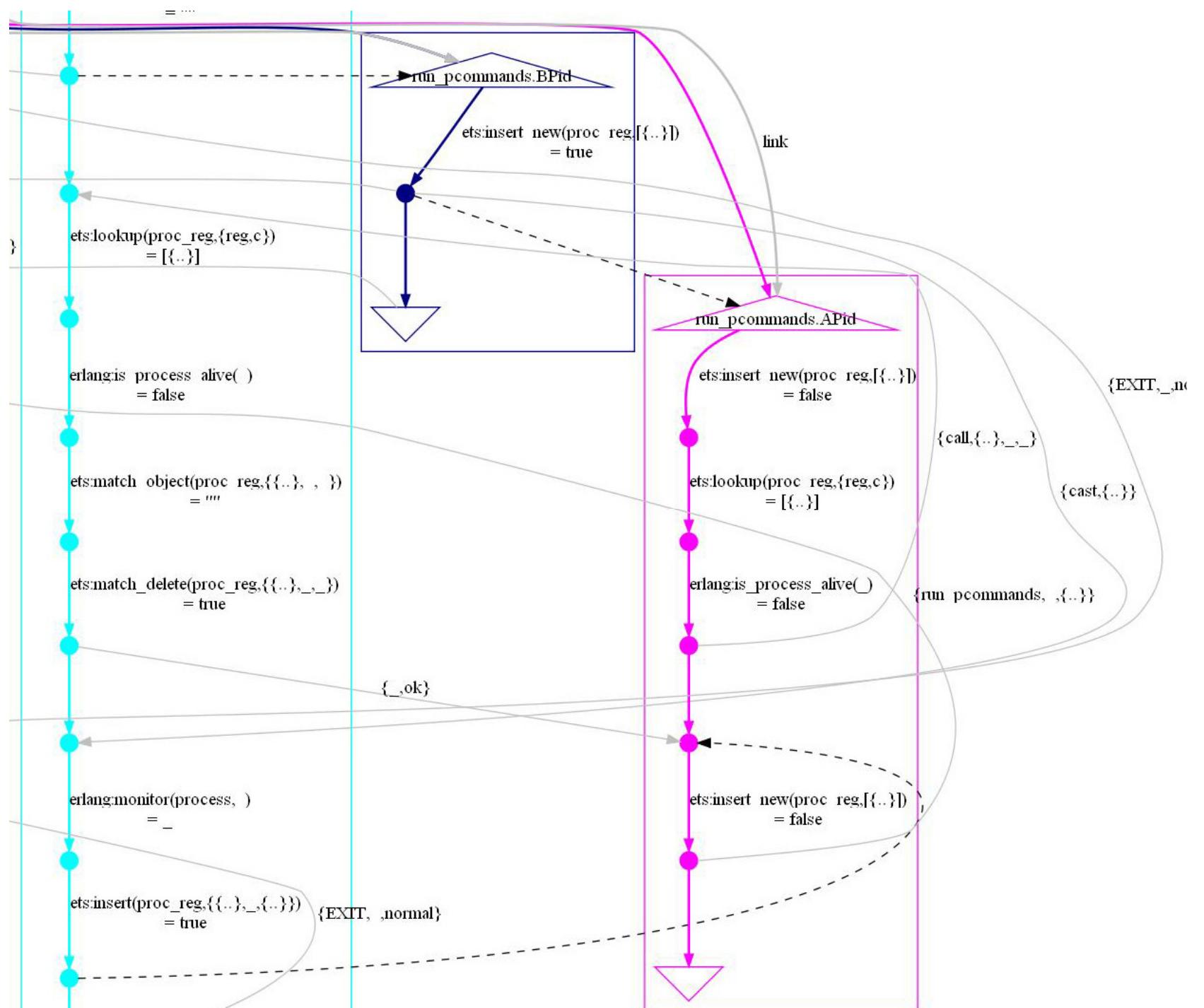
# Another possibility



# Side-effect order

- Two processes racing to write a file
- Order is not implied by message passing—so it needs to be shown explicitly





# How does it work?

## Client

`ets:insert_new` to add  
`{Name,Pid}` to the registry

If successful, tells server to  
complete addition

## Server

Creates a monitor and  
adds another entry  
`{Name,Pid},Monitor`



# How does it work?

## Client

`ets:insert_new` to add  
`{Name,Pid}` to the registry

If it fails, but `whereis(Pid)`  
is dead, ask server to  
clean it up

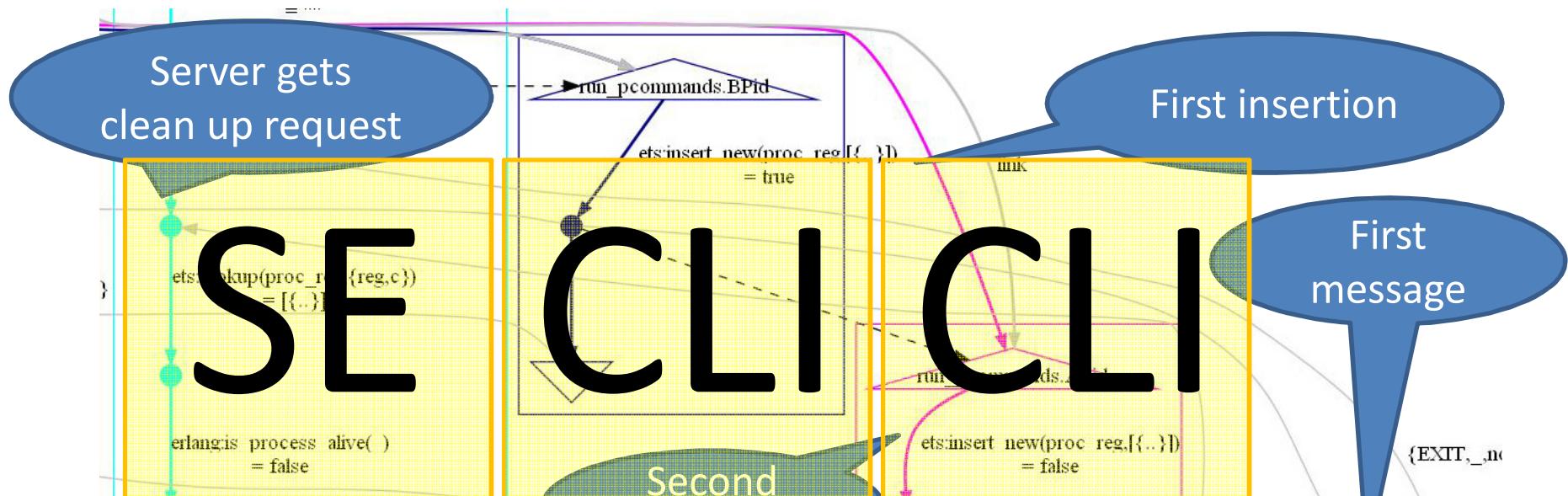
Repeats the `insert_new`  
and request to server,  
assumes it succeeds

## Server

Finds and deletes  
`{Name,Pid},Monitor` and  
the `{Name,Pid}` entry,  
replies ok

Creates the monitor and  
completes the job





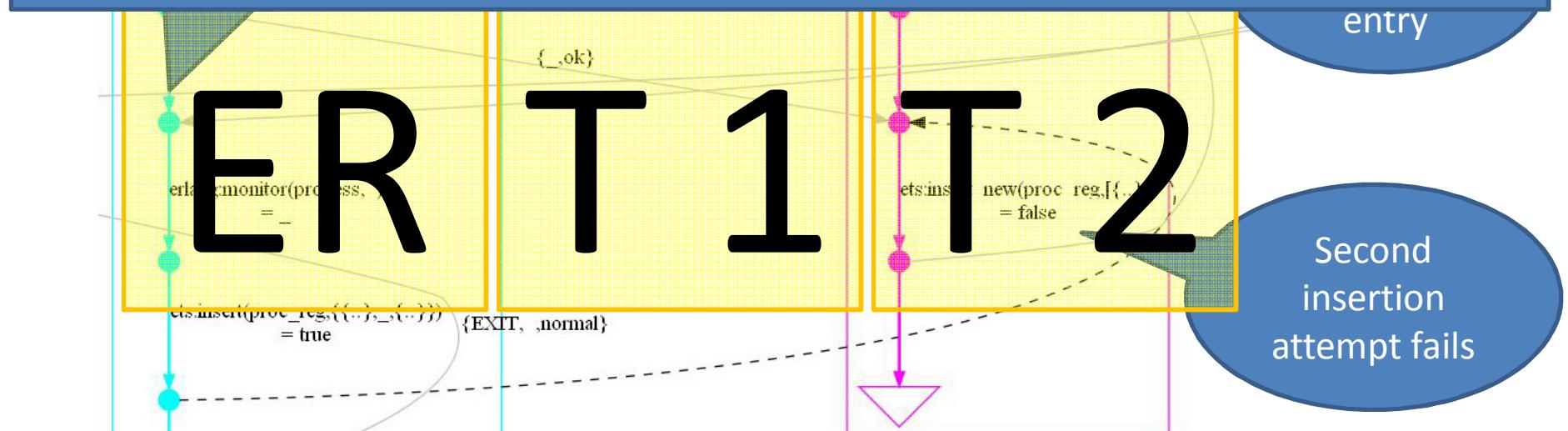
```

[[{set, {var, 9}, {call, proc_reg_eqc, spawn, []}},  

 {set, {var, 10}, {call, proc_reg_eqc, kill, [{var, 9}]}]},  

 [{set, {var, 15}, {call, proc_reg_eqc, reg, [c, {var, 9}]}},  

  {set, {var, 12}, {call, proc_reg_eqc, reg, [c, {var, 9}]}}]]}
  
```



# A Fix

## Client

`ets:insert_new` to add  
`{Name,Pid}` to the  
registry, *and a dummy*  
`{Name,Pid},Monitor}`  
*entry*

If successful, tells server to  
complete addition

## Server

Creates a monitor and  
adds the real entry  
`{Name,Pid},Monitor}`



# Conclusions

- Property-based testing works just fine to hunt for race conditions
- PULSE makes tests controllable, repeatable, and observable
- Visualization makes it possible to interpret test traces